



Bangko Sentral ng Pilipinas

**OFFICE OF THE DEPUTY GOVERNOR
SUPERVISION AND EXAMINATION SECTOR**

CIRCULAR LETTER NO. CL-2007- 013

**To: ALL BANKS AND NON-BANK FINANCIAL INSTITUTIONS WITH
TRUST FUNCTIONS**

Subject: List of Unit Investment Trust (UIT) Marketing Personnel

For the purpose of establishing a central database, all trust-licensed banks and non-bank financial institutions (NBFI) administering unit investment trust funds (UITFs) are required to submit the list of their designated UIT marketing personnel who have completed the standardized training program as required under Circular No. 447, dated 3 September 2004.

The list, to be submitted in soft and hard copies, shall contain the following minimum information: (a) name of personnel and official designation/position; (b) current place of assignment; (c) date and place of training, (d) training institution, if applicable, and (e) date and place of examination. It shall be submitted, in the attached excel file format, within twelve (12) banking days from date of this Circular Letter to the:

Trust Examination Group
Supervision and Examination Sector
5th Flr, Multi-Storey Bldg.
Bangko Sentral ng Pilipinas Complex
Vito Cruz cor. Mabini Sts., Manila

The Trust Officer shall certify the subject list of UIT marketing personnel and be responsible for immediately updating the BSP of any changes thereon.

For strict compliance.


NESTOR A. ESPENILLA, JR.
Deputy Governor

22 March 2007

Att.: a/s

PANANALAPING MATATAG, BANSANG PANATAG

Name of Bank/NBFI

List of Unit Investment Trust Marketing Personnel

As of _____

[illegible]

Trust Officer

Date _____